

June 8, 2021

BY ELECTRONIC FILING

Mr. Christopher J. Kirkpatrick Office of the Secretariat Commodity Futures Trading Commission Three Lafayette Centre 1155 21st Street, N.W. Washington, D.C. 20581

Re: Amendment pursuant to the Electronic Trading Risk Principles rulemaking (Eris Exchange, LLC Submission #2021-04E)

Dear Mr. Kirkpatrick:

Eris Exchange, LLC ("Eris Exchange" or the "Exchange") hereby submits for self-certification to the Commodity Futures Trading Commission (the "Commission"), under Commission regulation 40.6(a), the following amendments to Eris Exchange Rulebook (the "Rulebook"). The proposed changes will become effective on the trade date for June 23, 2021.

Explanation and Analysis

With respect to the Electronic Trading Risk Principles rulemaking (Commission regulations §§ 38.251(e), (f) and (g)), Eris Exchange has reviewed its rulebook and internal procedures and determined that changes to the rulebook are necessary for continued compliance.

Description of Rule Changes

Rule 401(a)(15) has been added to the Rulebook. A copy of the revisions to the Rulebook is attached hereto as Exhibit A.

Core Principles Compliance

Eris Exchange has concluded that the change is required to comply with the DCM Core Principles, as amended.

Public Information

A copy of this submission has been concurrently posted on the Exchange's website at <u>https://www.erisx.com/regulation/exchange-notices/</u> under "CFTC Submissions."

Opposing Views

There were no opposing views expressed that were not incorporated into the rule changes.



Certification

Eris Exchange, LLC hereby certifies to the Commodity Futures Trading Commission, pursuant to the procedures set forth in the Commission regulation §40.6, that this submission complies with the Commodity Exchange Act, as amended, and the regulations promulgated thereunder.

If you have any questions or require further information, please contact me at the information below, or contact Laurian Cristea General Counsel, at <u>laurian.cristea@erisx.com</u> or 646.961.4487

Sincerely,

/s/

Nataliya Manina Chief Regulatory Officer 312.858.4048 nataliya.manina@erisx.com



Exhibit A

Rule 401 Duties and Responsibilities of Participants

(a)

* * *

; and

(15) prevent, detect, and mitigate market disruptions or system anomalies associated with electronic trading on the Exchange.