COMMISSIONER OF FINANCIAL REGULATION

04 12 1728518 MESSAGE(S):

ERIS CLEARING, LLC

6156 12/04/2019



LICENSE * REGISTRATION * CERTIFICATION * PERMIT

STATE OF MARYLAND

Lawrence J. Hogan, Jr. Covernor

Boyd K. Rutherford Lt. Governor

James E. Rzepkowski Acting Secretary

DEPARTMENT OF LABOR, LICENSING AND REGULATION

COMMISSIONER OF FINANCIAL REGULATION

CERTIFIES THAT:

LEGAL NAME: ERIS CLEARING, LLC

D/B/A:

111 S. WACKER DRIVE, SUITE 4730

CHICAGO

60606 IL

NMLS ID# 1728518

IS AN AUTHORIZED: 12 - MONEY TRANSMITTER

LIC/REG/CERT 1728518

EXPIRATION 12/31/2020 EFFECTIVE 01-01-2020

CONTROL NO 5454628

Signature of Bearer

WHERE REQUIRED BY LAW THIS MUST BE CONSPICUOUSLY DISPLAYED IN OFFICE TO WHICH IT APPLIES

04 12 1728518

5,454,628

Acting Secretary DLLR

04 12 1728518

COMMISSIONER OF FINANCIAL REGULATION 500 N. CALVERT STREET, ROOM 402 BALTIMORE, MD 21202-3651

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STATE OF MARYLAND

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ame E. K Acting Secretary DLLR

Signature of Bearer