

COMMISSIONER OF FINANCIAL REGULATION

04 12 1728518
MESSAGE(S) :

ERIS CLEARING, LLC

6121 10/30/2019



LICENSE * REGISTRATION * CERTIFICATION * PERMIT

Lawrence J. Hogan, Jr.
Governor

STATE OF MARYLAND

Boyd K. Rutherford
Lt. Governor

DEPARTMENT OF LABOR, LICENSING AND REGULATION

James E. Rzepkowski
Acting Secretary

COMMISSIONER OF FINANCIAL REGULATION

CERTIFIES THAT:

LEGAL NAME: ERIS CLEARING, LLC
D/B/A:

111 S. WACKER DRIVE, SUITE 4730

CHICAGO IL 60606

NMLS ID#
1728518

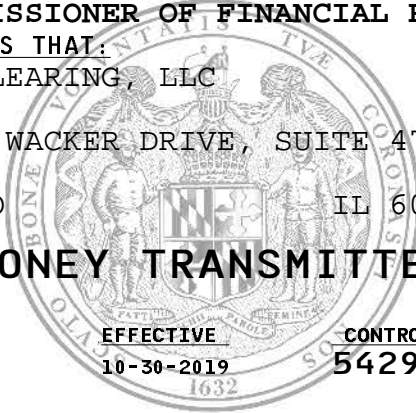
IS AN AUTHORIZED: 12 - MONEY TRANSMITTER

LIC/REG/CERT
1728518

EXPIRATION
12/31/2019

EFFECTIVE
10-30-2019

CONTROL NO
5429359



James E. Rzepkowski
Acting Secretary DLLR

Signature of Bearer

WHERE REQUIRED BY LAW THIS MUST BE CONSPICUOUSLY DISPLAYED IN OFFICE TO WHICH IT APPLIES

04 12 1728518

5,429,359

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COMMISSIONER OF FINANCIAL REGULATION
500 N. CALVERT STREET, ROOM 402
BALTIMORE, MD 21202-3651

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